

The G-30* Recommendations		Takasbank's compliance
Recommendation 1	Full automation in communication and data gathering	√
Recommendation 2	Message standards and communication protocols	√
Recommendation 3	Reference data standard	√
Recommendation 4	Synchronization between different settlement and custody systems	√
Recommendation 5	Standardization and automation in institutional trade matching.	√
Recommendation 6	“CentralCounterparty” practice	√
Recommendation 7	“Securities Lending/Borrowing” practice	√
Recommendation 8	Offering use of corporate rights and tax exemption arrangement services in a standard manner and in an automated environment	√
Recommendation 9	Providing for the financial integrity of the providers of clearing and settlement services (the creation of a reliable and efficient environment through the implementation of the business model, the risk control method, procedures and standards)	√
Recommendation 10	Risk management and control processes	√
Recommendation 11	Synchronized transfer of cash and securities	√
Recommendation 12	Efficient business contingency and Disaster Recovery Planning	√
Recommendation 13	Assessment of the probability of failure of institutions of systemic importance and appropriate planning	√
Recommendation 14	Sanctioning power of contracts in the audit and examination process of service supplier institutions	√
Recommendation 15	Statutory framework for rights ensuing from securities, cash and collateral	√
Recommendation 16	Definition of the valuation and netting relating to transaction closed before maturity	√
Recommendation 17	Experienced Board of Directors	√
Recommendation 18	Fair and easy access to the system	√
Recommendation 19	Impartial, open and efficient corporate governance	√
Recommendation 20	Standard regulation and supervision	√

The G-30*: The G-30 is a group composed of representatives from banks, brokerage firms, exchanges and settlement organizations from developed markets around the world, established with the aim of working toward reducing risk and increasing efficiency and productivity in the area of international clearing and settlement.

The CPSS – IOSCO* Recommendations		Takasbank's compliance
Recommendation 1	Clear, transparent, well-founded legal framework	√
Recommendation 2	Same-day trade confirmation	√
Recommendation 3	Rolling Settlement	√
Recommendation 4	“Central Counterparty” practice (CCPs)	√(in futures transactions)
Recommendation 5	“Securities Lending” practice	√
Recommendation 6	Securities dematerialization and immobilization (CSDs)	√
Recommendation 7	Delivery versus Payment (DvP)	√
Recommendation 8	Timing for settlement finality	√
Recommendation 9	Monitoring the risk of participants' failure to settle	√
Recommendation 10	Cash settlement assets	√
Recommendation 11	Operational reliability	√
Recommendation 12	Protection of customers' securities	√
Recommendation 13	Transparent, efficient and impartial corporate governance	√
Recommendation 14	Fair and easy access to the system	√
Recommendation 15	Operational efficiency of the system (cost, quality, trust)	√
Recommendation 16	International communication procedures and standards in cross-border transactions	√
Recommendation 17	Open, continuous, accurate and timely information	√
Recommendation 18	Transparent and efficient regulation and oversight	√
Recommendation 19	Reduction of settlement risks in cross-border transactions	√

CPSS* – The Committee on Payment and Settlement Systems

IOSCO* – The International Organization of Securities Commissions

The ISSA* Recommendations		Takasbank's compliance
Recommendation 1	Transparent, efficient and impartial corporate governance	√
Recommendation 2	Full automation for interactive communication and business continuity	√
Recommendation 3	Messaging standards and communication protocols	√
Recommendation 4	Standard, open and clear market practices	√
Recommendation 5	Reduction of operational settlement risk (DvP, dematerialization, securities lending/borrowing practice and collateralization etc.)	√
Recommendation 6	Integration of domestic and international securities systems (operational risk and cost reducing, market efficiency increasing impact)	√
Recommendation 7	Investor protection	√
Recommendation 8	Comprehensible and clear legal framework	√

ISSA*– International Securities Services Association